

Marin Capital Management

Form ADV Part 3

Customer Relationship Summary (CRS)

March 29, 2024

Item 1: Introduction

We are registered with the SEC as an investment adviser. Brokerage and investment advisory services fees differ, and it is important for you to understand the differences. Free and simple tools are available to research firms and financial professionals at <http://investor.gov/crs>, which also provides educational materials about broker-dealers, investment advisers and investing.

Item 2: Relationships and Services

What investment services and advice can you provide me?

Our firm offers investment advisory services, which are fully described in our Form ADV Part 2A (“Disclosure Brochure”). Our services include financial planning and portfolio management. As part of our standard services, the Firm develops portfolios for clients that are custom-tailored to the specific needs, risk tolerances and desires of each individual client. MCM collects personalized information from the client to determine the best portfolio for the client. The firm performs ongoing reviews of the portfolio to ensure they continue to align with the Clients’ profiles. There are no material limitations to our monitoring. We accept discretionary authority to implement the recommended transactions in client accounts. The level of discretion is determined in our agreement and there are no material limitations on the authority. Marin Capital Management (MCM) charges a fee based on a percentage of the assets under management. The firm does not receive fees based on assets or commissions for purchasing or selling stocks, bonds, mutual funds, real estate investment trusts, or other commissioned products for clients. The minimum account size that the firm will accept is \$2,000,000; however, this minimum account requirement may be waived by MCM at its discretion. Additionally, the Firm serves as General Partner to an affiliated private pooled investment vehicle AMP I, LP (the “Fund”), a Delaware limited Partnership.

For additional information on our advisory services, please see Items 4, 5, and 7 of our ADV Part 2A [Brochure](#).

Conversation starters: We encourage you to ask your finance advisor these key questions:

- 1) “Given my financial situation, should I choose an investment advisory service? Why or why not?”
- 2) “How will you choose investments to recommend to me?”
- 3) “What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?”

Item 3: Fees, Costs, and Standards of Conduct

What fees will I pay?

The fee for all clients is based on a percentage of the assets under management. Our investment management fees are billed quarterly, in advance, meaning that the Firm invoices Clients on the day a Client opens an Account and quarterly thereafter. As your investment adviser, we have to act in your best interest and not put our interest ahead of yours. Fees for individually managed accounts start at 1.1% depending upon the amount of the assets in the account. The fee may also vary depending on the appointment of a sub-advisor. More information on sub-advisors can be found in the ADV 2A Brochure. The asset management fee is shown in a table contained in the client advisory agreement. We also charge an hourly fee of \$500 an hour for financial planning regardless of the work being performed. The terms and conditions of the financial planning and engagement are set forth in the Advisory Agreement and we require payment in advance. However, we will not require \$1200 or more in prepaid fees in excess of six months in advance of services rendered.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

Other costs incurred in your account may include prime broker charges, wire transfer and electronic fund transfer fees, fees and taxes on brokerage accounts and securities transactions. We do not collect any fees or revenue from these products.

For additional information, please see Item 5 of our ADV Part 2A [Brochure](#).

Conversation starter: “Help me understand how these fees and costs might affect my investments? If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?”

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

When we act as your investment adviser, we act in a fiduciary capacity. This means we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here are some examples to help you understand what this means:

- MCM and/or its investment advisory representatives may from time-to-time purchase or sell products or investments that they may recommend to clients. This creates a conflict of interest as we may have a financial interest when recommending certain investments that we may also own. However, our internal policies and compliance procedures provide guidance to manage your account in accordance with your best interest regardless of this conflict.
- Additionally, because we act as the General Partner for the AMP I, LP, the Firm can offer the Fund as a recommendation to our clients. This creates a conflict of interest, whereby we can be influenced to recommend the Fund to our clients and potentially earn greater compensation. Clients are under no obligation to act upon any such recommendation. To mitigate these conflicts, we have adopted certain supervisory policies and procedures, and our Code of Ethics, which sets forth the professional and fiduciary standards that associated persons must follow.

For additional information, please see our ADV Part 2A [Brochure](#).

Conversation starter: If you have any questions concerning our fees or conflicts of interest, please feel free to ask us questions, such as: “How might your conflicts of interest affect me, and how will you address them?”

How do your financial professionals make money?

Our financial professionals are compensated based on salary and a discretionary bonus based on our overall financial performance. Our financial professionals may also be compensated when referring new clients to the firm on a case-by-case basis. Our financial professionals are not compensated based on the sale of a particular product and do not earn commissions.

Item 4: Disciplinary History

Do we or our financial professionals have legal or disciplinary history?

No. We encourage you to visit investor.gov/CRS for a free and simple search tool to research any of our financial professionals.

Conversation starter: Feel free to ask: “As a financial professional, do you have any disciplinary history? For what type of conduct?”

Item 5: Additional Information

Where is additional information available?

To obtain additional information regarding our services, if you want to address any concerns, or if you need to request a copy of this relationship summary and any of our other disclosure documents referred to in this document, please contact the firm at (844) 626-4949 or visit our website at <https://mcmwealth.com/>

Conversation Starter: “Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?”

Our Firm and its representatives have a fiduciary duty to all clients. Please call us at (844) 626-4949 if you have any concerns.